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This paper is dedicated to the memory of Niels Hansen.

On macromolecular refinement at subatomic resolution with interatomic scatterers

A study of the accurate electron-density distribution in molecular crystals at subatomic resolution (better than ~ 1.0 Å) requires more detailed models than those based on independent spherical atoms. A tool that is conventionally used in small-molecule crystallography is the multipolar model. Even at upper resolution limits of 0.8–1.0 Å, the number of experimental data is insufficient for full multipolar model refinement. As an alternative, a simpler model composed of conventional independent spherical atoms augmented by additional scatterers to model bonding effects has been proposed. Refinement of these mixed models for several benchmark data sets gave results that were comparable in quality with the results of multipolar refinement and superior to those for conventional models. Applications to several data sets of both small molecules and macromolecules are shown. These refinements were performed using the general-purpose macromolecular refinement module *phenix.refine* of the *PHENIX* package.

1. Introduction

The growing number of macromolecular crystals diffracting to subatomic resolution (53 models in 2003; currently 270) requires the development of appropriate methods and software to model them best. The new information obtained from such macromolecular studies has been discussed in a number of articles (see, for example, the reviews by Dauter *et al.*, 1995, 1997; Vrielink & Sampson, 2003; Petrova & Podjarny, 2004, and numerous references therein). Afonine *et al.* (2004) have shown that information about the density deformation of individual atoms can be extracted from macromolecular data at resolutions of 0.9 Å or better. As a consequence, conventional models for macromolecular structures, in which the electron density of the molecule is a simple sum of contributions from spherical atoms smeared by individual anisotropic displacements, are incomplete and provide inaccurate values for ADPs (atomic displacement parameters). Following previous publications, we refer to these models as IAM (independent-atom models).

Model refinement of small molecules at subatomic resolution largely uses the multipolar formalism of Hansen & Coppens (1978). For these models, the electron density is a sum of atomic contributions in which the density is no longer spherical but depends upon the chemical environment. Such a nonspherical distribution is described by a linear combination of spherical harmonics (Hansen & Coppens, 1978). Refinement of parameters of multipolar models is monitored mainly by decrease of the crystallographic *R* factor, improvement of the residual Fourier syntheses, the rigid-bond test (RBT) and other characteristics.

Lecomte and coworkers have reported a number of multipolar refinements of amino acids and nucleic acids to determine a database of multipole parameters and have described several cases of polypeptide and protein refinement using this database (for a review, see Jelsch *et al.*, 2005). Recently, the group of Coppens (Volkov *et al.*, 2007) also reported an application of the multipolar refinement to polypeptides, but using their own database of multipolar parameters.

Table 1

Data used for refinements.

N_{nonH} , N_{H} and N_{IAS} give the number of non-H, H and IAS atoms in corresponding models. d_{high} is the highest resolution for the data set, N_{high} is the corresponding number of reflections. N_{low} is the number of reflections for the data sets truncated to lower resolution ($d_{\text{low}} = 0.80 \text{ \AA}$; YGG and P2A4 only).

Molecule	Space group and unit-cell parameters (\AA , $^\circ$)	N_{nonH}	N_{H}	N_{IAS}	d_{high} (\AA)	N_{high}	N_{low}	Reference
YGG	$P2_12_12_1$, $a = 7.98$, $b = 9.54$, $c = 18.32$	22	19	39	0.43	4766	1358	Volkov <i>et al.</i> (2007)
P2A4	$P2_12_12_1$, $a = 10.13$, $b = 12.50$, $c = 19.50$	35	36	71	0.37	21475	2513	Volkov <i>et al.</i> (2007)
Antifreeze protein (KW03)	$P2_12_12_1$, $a = 32.50$, $b = 39.50$, $c = 44.64$	650	518	367	0.62	118501	—	Ko <i>et al.</i> (2003)
Trypsin	$P1$, $a = 32.87$, $b = 37.02$, $c = 39.78$, $\alpha = 102.89$, $\beta = 104.59$, $\gamma = 102.37$	2231	1515	1362	0.80	163918	—	Schmidt <i>et al.</i> (2003)
Phospholipase	$C2$, $a = 44.73$, $b = 59.09$, $c = 45.31$, $\alpha = 90.00$, $\beta = 117.43$, $\gamma = 90.00$	1324	956	679	0.80	77695	—	Liu <i>et al.</i> (2003)
Scorpion toxin	$P2_12_12_1$, $a = 45.90$, $b = 40.70$, $c = 30.10$	647	441	335	0.96	31001	—	Houssel <i>et al.</i> (2000)

Table 2

Comparative statistics for refinement of IAS and multipolar models.

M_t and M_r represent multipolar models with transferred and refined parameters (refinements '3' and '5' in Volkov *et al.*, 2007). $\langle B_{\text{nonH}} \rangle$ is the mean value of the equivalent isotropic ADP calculated for non-H atoms. RBT is the rigid-bond-test value (the same as DMSDA, differences in mean-squared displacement amplitudes, in Volkov *et al.*, 2007). R_{work} and R_{free} are the standard crystallographic R and R_{free} factors between experimental F^{obs} and model-based calculated structure-factor magnitudes F^{model} (Afonine *et al.*, 2005) calculated as $\sum_s |F_s^{\text{obs}} - kF_s^{\text{model}}| / \sum_s F_s^{\text{obs}}$.

Data set	Model	$\frac{N_{\text{data}}}{N_{\text{par}}^\ddagger}$	R_{work}	R_{free}	$\langle B_{\text{nonH}} \rangle$ (\AA^2)	RBT (10^4 \AA^2)
YGG, low resolution	IAM‡	4.9	2.16	—	—	17.76
	M_t ‡	6.2	1.22	—	—	12.85
	IAM	6.2	2.35	2.62	1.23	18.99
	IAS	4.0	1.57	2.00	1.05	12.23
YGG, high resolution	IAM‡	17.3	4.51	—	—	8.77
	M_t ‡	21.9	3.66	—	—	7.38
	M_r ‡	10.6§	3.42	—	—	6.38
	IAM	21.9	4.57	4.72	1.04	8.62
P2A4, low resolution	IAS	14.2	3.75	4.06	1.07	7.68
	IAM‡	5.5	2.98	—	—	15.64
	M_t ‡	7.1	1.84	—	—	7.09
	IAM	7.1	3.51	3.79	1.24	20.77
P2A4, high resolution	IAS	4.5	2.45	3.27	1.07	16.77
	IAM‡	46.7	3.44	—	—	3.67
	M_t ‡	61.0	2.67	—	—	2.65
	M_r ‡	43.6§	2.53	—	—	3.09
Antifreeze protein	IAM	61.1	3.72	3.63	1.14	3.66
	IAS	38.1	3.06	3.23	1.14	4.79
	IAM	18.6	12.77	15.37	7.84	208.4
	IAS	14.3	11.76	14.44	7.40	195.7
Trypsin	IAM	7.6	10.30	13.79	5.79	149.3
	IAS	5.8	9.19	13.35	5.52	126.0
Phospholipase	IAM	6.0	8.99	12.80	9.88	250.6
	IAS	4.7	8.31	12.64	9.11	213.5
Scorpion toxin	IAM	4.9	9.40	15.47	10.30	365.8
	IAS	3.9	8.78	15.23	10.42	363.1

† For multipolar refinement a number of parameters were fixed or linked by constraints. N_{par} is the number of parameters at each step and does not include the number of parameters refined previously. In contrast to Volkov *et al.* (2007), in the current project the ratio $N_{\text{data}}/N_{\text{par}}$ was calculated for the total number of refined parameters even when at each particular moment only a subset of them were refined; a direct comparison of this information with that reported in Volkov *et al.* (2007) is not straightforward. ‡ Refined by Volkov *et al.* (2007); corresponding numbers are cited from there. § An estimate obtained if the same set of parameters were used for refinement at 'high' resolution.

Volkov *et al.* (2007) concluded that the applicability of multipolar models in macromolecular studies 'is in general not warranted, unless exceptionally high-resolution data of $\sim 0.6 \text{ \AA}$ or better with satisfactory completeness' are available. Also it was stated that 'for macromolecular crystal such data are generally not available, ... the number of reflections is not sufficient'. A possible solution to overcome this obstacle is a direct transfer of library parameters

without their refinement as discussed by Brock *et al.* (1991), Pichon-Pesme *et al.* (1995), Jelsch *et al.* (1998), Dittrich *et al.* (2005), Volkov *et al.* (2007) and Zarychta *et al.* (2007). However, since the quality of macromolecular X-ray data is generally lower than that for small-molecule crystals, an alternative solution is to introduce a model of intermediate complexity that is more detailed than IAM but simpler than a multipolar model (Afonine *et al.*, 2004). A possible approach is to complete the IAM with spherical scatterers between the atoms (IAS, interatomic scatterers). It should be noted that the use of the IAM–IAS model is much

more runtime-efficient and can be straightforwardly implemented in macromolecular crystallographic packages. Here, we use IAS instead of the previous name DBE (dummy bond electron model; Afonine *et al.*, 2004), as it better reflects the features of the model.

In this paper, we compare the results obtained with different types of electron-density models for several benchmark data sets. The implementation of IAS modelling into the general-purpose crystallographic program suite *PHENIX* (Adams *et al.*, 2002) has allowed the corresponding refinements with *phenix.refine* (Afonine *et al.*, 2005) to be performed quickly and in a fully automated fashion.

2. Comparative refinement at subatomic resolution

The modelling of structures at subatomic resolution with multipolar models takes into account the delocalization of electron density from atomic centres owing to the formation of interatomic bonds. The IAM–IAS model (Afonine *et al.*, 2004) instead treats this delocalized density as spherical Gaussian scatterers located at the centroid of the delocalized density and keeps the conventional spherical atoms unchanged. The multipolar model requires that existing IAM atoms be replaced, while the IAS models complete them with specifically constructed scatterers. Also, the IAM–IAS model may be gradually extended once the new features become visible. Some details of the construction and refinement of IAM–IAS models and the development of the corresponding library of parameters were originally outlined by Afonine *et al.* (2004). The current tests were aimed to demonstrate that IAM–IAS models can improve conventional IAM models by lowering the R and R_{free} factors, correcting the ADP parameters and producing clearer residual maps to the same degree as multipolar models and yet are significantly simpler to work with. In this short communication, we do not have the possibility of discussing applications other than map improvement (see, for example, Afonine *et al.*, 2002). For the same reason, the complete methodology and implementation details of IAS in *PHENIX*, including the choice of refinement targets, the role of data completeness and the efficient resolution, will be discussed separately in a full-length paper (Afonine *et al.*, in preparation).

To estimate the quality of IAM–IAS models, we built and refined such models for YGG and P2A4 (Table 1), for which a comparative refinement has been reported by Volkov *et al.* (2007). Similarly to Volkov *et al.* (2007), refinement was performed at two different resolutions. The highest available resolution (0.44 and 0.37 \AA , respectively; for YGG the data completeness is below 50% at a resolution higher than 0.57 \AA) was considered as 'high resolution',

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where the data-to-parameter ratio is high enough even for the use of a multipolar model, and a resolution of 0.80 Å was the 'low resolution' where this ratio becomes too low. In addition to the standard R factor and rigid-bond test (Hirshfeld, 1976), R_{free} (Brünger, 1992) was used as a refinement-quality indicator.

Unfortunately, the YGG and P2A4 models had been refined previously against the full set of data [in fact, the set selected with $I > 3\sigma(I)$, which is not explicitly stated in Volkov *et al.*, 2007], making the conventional R_{free} analysis biased. Therefore, when performing the IAS refinements we only note that R_{free} is lower than the corresponding values for the refined IAM models.

The IAM-IAS models were generated and refined completely automatically in PHENIX. Table 2 shows principal refinement information. All stereochemical and ADP restraints on atomic parameters were removed for both the small molecules and macromolecules used in this study (Dauter *et al.*, 1997; Schmidt *et al.*, 2003; Petrova *et al.*, 2006). Since the starting models were previously

refined to a high quality, no stereochemical distortions arising from the unrestrained refinement were observed. A decrease in the R_{free} shows that refinement of IAS did not overfit the experimental data and indeed improved the models. When refining at 'low resolution', the ADP values obtained with the IAS are smaller than those from the refinement of corresponding IAMs. Based on previous work (Afonine *et al.*, 2004; Petrova *et al.*, 2006), we believe that they are closer to the correct values of the ADPs, which will otherwise tend to increase to model the deformation density along the bonds (Coppens, 1967; Dunitz & Seiler, 1973). The rigid-bond test also confirms that the introduction of IAS improved the model. In fact, the IAS refinement with the maximum-likelihood target (Lunin *et al.*, 2002; to our knowledge never previously applied in this context) improved the models further as measured by the rigid-bond test; however, analysis of this is beyond the scope of this paper. For 'high-resolution refinement', mean ADP values are similar with and without IAS, as noted previously by Afonine *et al.* (2004). This indicates that the

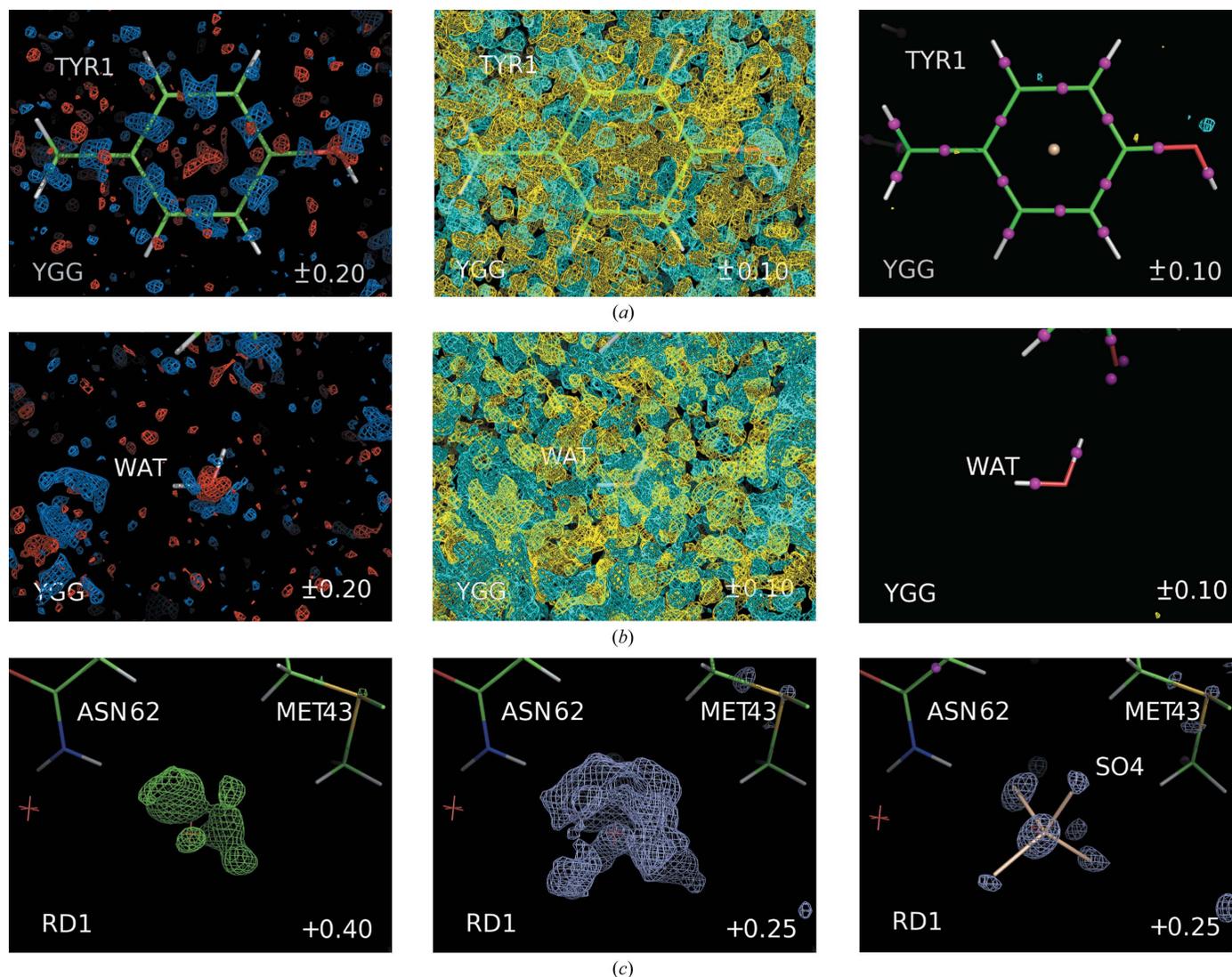


Figure 1 Residual Fourier maps calculated on an absolute scale. IAS are shown as small spheres in magenta (IAS with positive occupancy) and in brown (IAS with negative occupancy). (a, b) Maps at 0.43 Å resolution for YGG. Left and middle, IAM-phased maps; right, IAM-IAS-phased maps. Contour colours are $+0.20 \text{ e } \text{Å}^{-3}$ (marine), $+0.10 \text{ e } \text{Å}^{-3}$ (cyan), $-0.10 \text{ e } \text{Å}^{-3}$ (yellow) and $-0.20 \text{ e } \text{Å}^{-3}$ (red). Views are similar to those in Figs. 2 and 3 of Volkov *et al.* (2007). (c) Maps at 0.62 Å resolution for the antifreeze protein RD1. Left and middle, IAM-phased maps shown at cutoff levels of $0.40 \text{ e } \text{Å}^{-3}$ (green) and $0.25 \text{ e } \text{Å}^{-3}$ (light blue); right, IAM-IAS-phased map shown at a cutoff level of $0.25 \text{ e } \text{Å}^{-3}$ (light blue). The sulfate ion inserted instead of the previously located water nicely fits the residual density (shown in brown).

highest resolution data contain sufficient information to deconvolute the deformation-density and atomic uncertainty effects and to estimate the ADPs correctly even without IAS.

Fig. 1 illustrates the improvement of the difference $F_{\text{obs}} - F_{\text{calc}}$ maps, reducing the residual peaks to the same level as for multipolar models (compare with Figs. 2 and 3 in Volkov *et al.*, 2007). Overall, for the whole set of monitoring parameters the results show the comparable quality of the IAM–IAS and multipolar models despite the simplicity of the former.

Several macromolecular structures were used as another benchmark (Table 1). Previously, refinement at subatomic resolution using multipolar models has been reported for crambin (Fernandez-Serra *et al.*, 2000; 0.54 Å), trypsin (Schmidt *et al.*, 2003; 0.80 Å), phospholipase (Liu *et al.*, 2003; 0.80 Å; for resolution higher than 0.86 Å the data completeness is below 50%) and scorpion toxin (Housset *et al.*, 2000; 0.96 Å). The corresponding models were extracted from the PDB (Bernstein *et al.*, 1977; Berman *et al.*, 2000). Unfortunately, the models available in the PDB did not allow exact reproduction of the results reported, making comparative analysis of the IAS refinement impossible. In particular, this completely excluded the crambin data from our tests. To complete the picture at higher resolution, we additionally performed an IAS refinement of the antifreeze protein RD1 at 0.62 Å (Ko *et al.*, 2003). Table 2 summarizes the results of the refinement of these models. In all cases, the residual maps became much clearer. In particular, this map improvement highlighted the double conformation of the S–S bonds for the phospholipase and trypsin structures, which were otherwise hidden in the noise, and identified two ions previously interpreted as waters (Fig. 1c illustrates this for RD1).

In all cases, the full round of completely automated IAS model building and IAS–IAM refinement, with no manual intervention, took from a few minutes to 1 h on a modern Linux computer. For all protein refinements, completing IAM by IAS decreases the R factors; the R_{free} factors are lower for IAS–IAM than for IAM. The RBT value systematically decreases after the introduction of IAS. We observe that the mean ADP slightly increased for the scorpion toxin data, which may indicate that the resolution (0.96 Å) approaches the limit for the use of the IAM–IAS method.

3. Conclusion

Currently, multipolar modelling is the most precise and powerful tool for crystallographic studies at subatomic resolution when the crystals diffract to ultrahigh resolution of about 0.6 Å or higher and the data-to-parameter ratio justifies refinement of the model parameters. At a resolution near 0.8–0.9 Å, which is more common for macromolecular crystals at sub-angstrom resolutions, multipolar modelling typically requires too many parameters to be refined. As an alternative to the multipolar method, IAM–IAS models may be used, where IAM atoms are augmented by small interatomic scatterers. This approach makes model building and refinement a very transparent and easily monitored procedure. The results of automated refinement of such models for both small molecules and macromolecules at subatomic resolution confirm the efficiency of these models both in terms of model quality and CPU resources required. The tests show that these models can be used even at ultrahigh resolution, producing results that are comparable with those obtained with multipolar models.

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